

Autonomous Real-Time Software & Systems Testing

Thomas M. Fehlmann, Zürich
Euro Project Office AG

E: info@e-p-o.com

H: www.e-p-o.com



Automotive SPIN Italia, Orbassano (TO) – 22 February 2018



Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

Transfer
Functions

Customer
Orientation

● 1981: Dr. Math. ETHZ

● 1991: Six Sigma for Software Black Belt

● 1999: Euro Project Office AG, Zürich

Lean
Six Sigma

● 2001: Akao Price 2001 for original contributions to QFD

● 2003: swissICT Expert for Software Metrics

Agile
Processes

● 2004: Member of the Board QFD Institute Deutschland – QFD Architect

● 2007: CMMI for Software – Level 4 & 5

● 2009: Member of GUEPI-ISMA

Project
Estimations

● 2011: Net Promoter® Certified Associate

● 2013: Vice-President ISBSG

Transfer
Functions

● 2016: Academic Member of the Athens Institute for Education and Research





GUFPI-ISMA

<https://connect.eventtia.com/en/dmz/isma15/website>

- Tre **Eventi Metrici** per anno (un giorno)
 - ➔ Roma, in maggio
 - ➔ Torino, Milano; nel nord, in settembre
 - ➔ Napoli, Salerno, Caserta; nel sud, in dicembre

- **ISMA 15** a Roma

- ➔ La conferenza internazionale del IFPUG torna in Italia dopo un anno: ISMA15 – che coincide con il 1° #EventoMetrico 2018 – si terrà a Roma dal 9 all'11 Maggio 2018 al Centro Congressi Frentani
- ➔ Gratuito per i soci GUFPI-ISMA; 61€ per i soci del nostro network
- ➔ La partecipazione al giorno della conferenza dà diritto ad 1 CEC IFPUG (programma IFPUG CEP) e a 7 PDU per il programma PMI CCR

Dove c'è GUFPI-ISMA, c'è misura

#Misurare è fondamentale...

... in ogni aspetto della vita, personale e professionale. «**Non puoi controllare ciò che non misuri**» è un adagio ormai ripetuto costantemente ma troppo spesso disatteso quando ci riguarda direttamente.

La misura è strumento, non obiettivo. Ma l'obiettivo è il tuo.

Se però non misuri, come puoi raggiungerlo ai livelli di performance attesi?

GUFPI-ISMA: misurare i Progetti, oltre il Monitoraggio

GUFPI-ISMA lo misuro. E tu? www.gufpi-isma.org

Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Agenda

Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

Transfer
Functions



Test Metrics



Truck Platooning



Real-Time Testing



Agenda

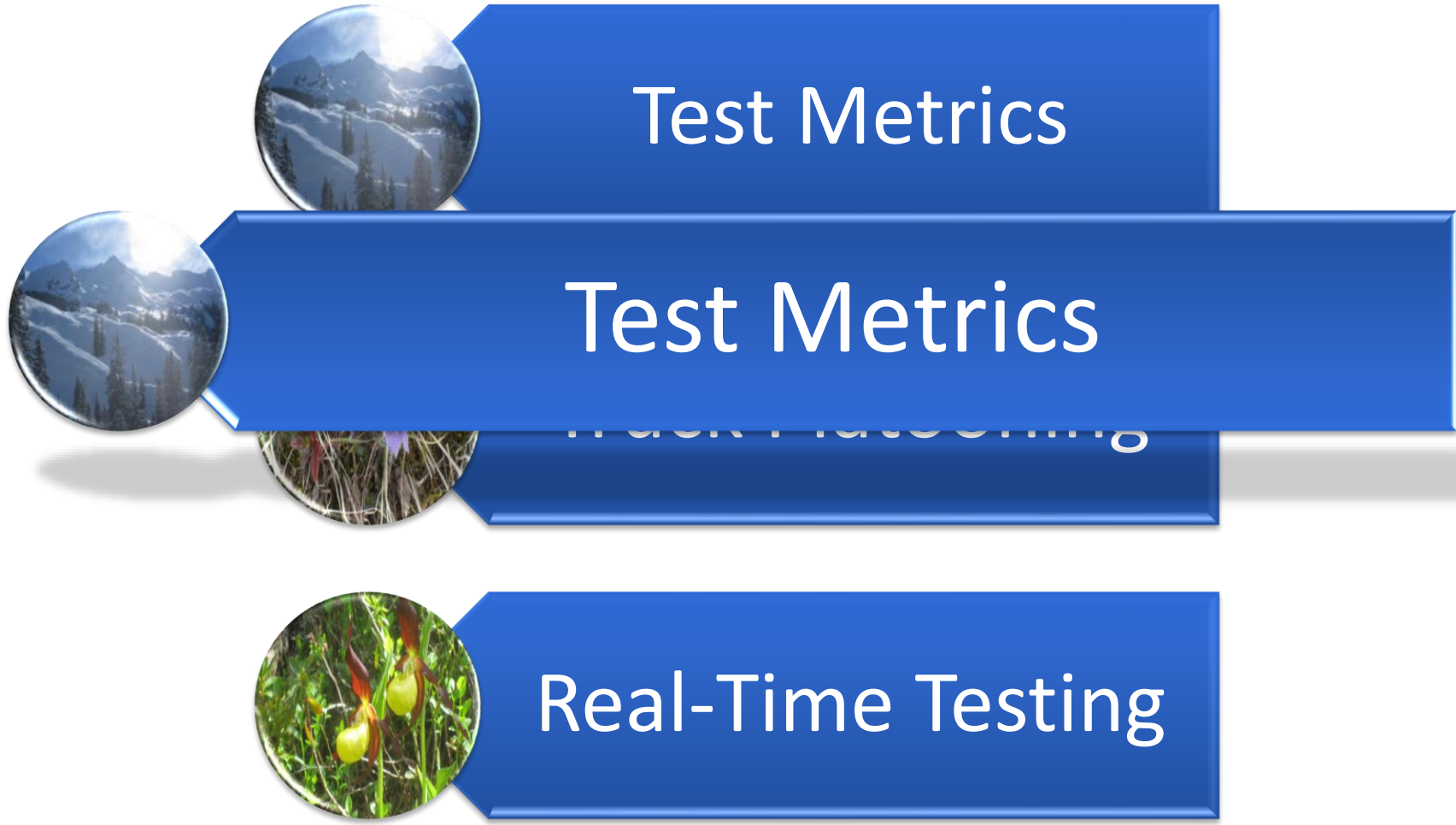
Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions





What is an Application?

● Objects of Interest:

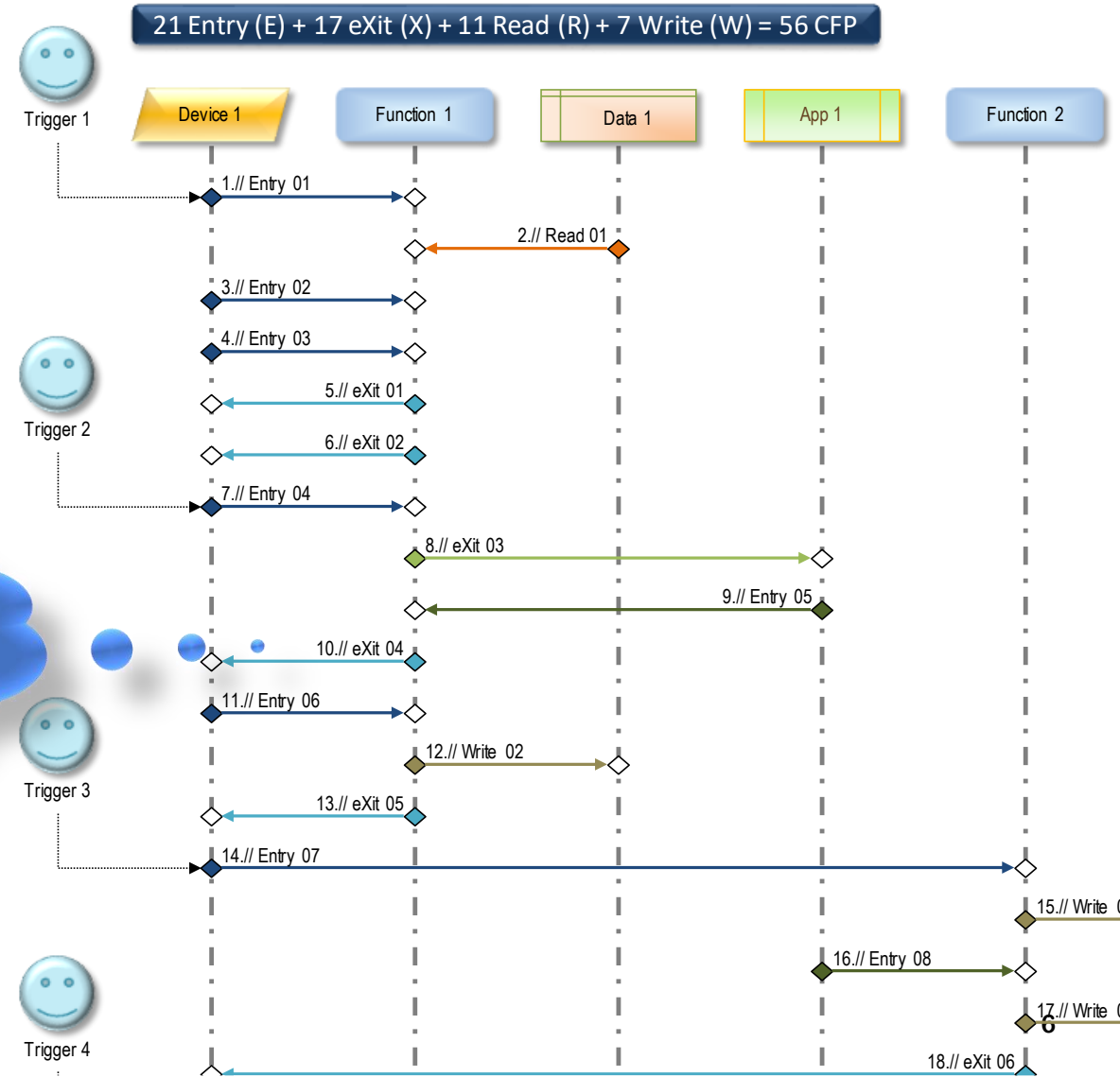
- ➔ Devices (user or other system)
- ➔ Functional Process
- ➔ Persistent Data
- ➔ Other Applications

● Data Movements:

- ➔ Entry
- ➔ eXit
- ➔ Read
- ➔ Write

Data Movement Map
according
ISO/IEC 19761 COSMIC

- Moving **Data Groups** across application within system boundary



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



What is a Test?

- A **Software Test** has
 - ➔ Several Test Stories
 - Explaining the Value for the Customer
 - Weighted by **Customer's Priority** for the Test Story

- A **Test Story** has
 - ➔ Many Test Cases
 - ➔ Exploring different aspects – favorable and dismal – of the test story

- A **Test Case** has
 - ➔ Test data and test stubs to run the software under test
 - ➔ An Outcome
 - Passed: all responses according expectations
 - Failed: at least one test case didn't yield the expected response





What is a Test Case?

- A **Test Case** has

- ➔ Entry Data (“Test Data”)

- Explaining the environment for the test case
- Typically valid, invalid, borderline data
- Normal and disturbed communication services

- ➔ A known sequence of data movements executed

- Defining **Test Coverage** and **Test Size**

- ➔ Test Size

- Every Test Case has a size: the number of data movements executed by the test
- Total Test Size is the number of data movements executed by all test cases

- ➔ Test Coverage

- Percentage of data movements covered with test cases



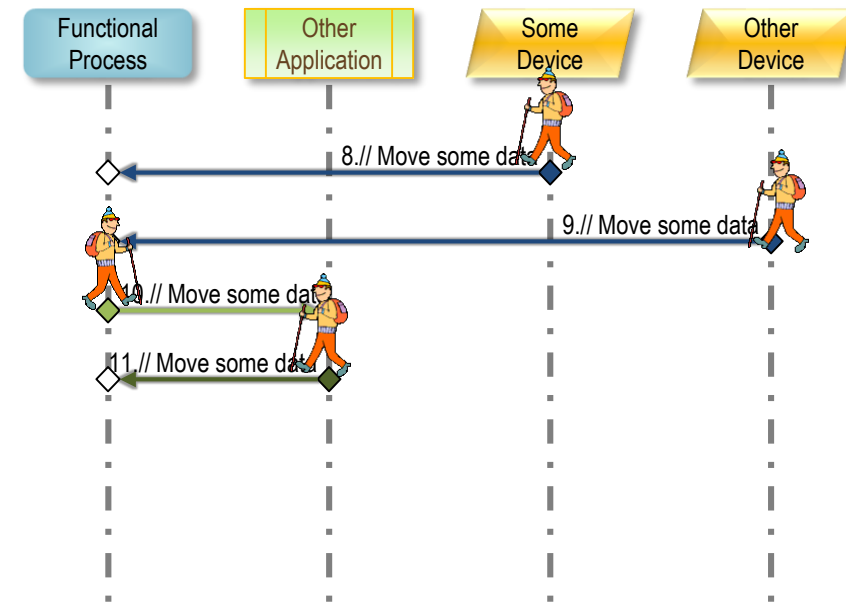
$$\{x_1, x_2, \dots, x_n\} \rightarrow y$$





Visualizing Software Testing

- Tester sees selected sequences in the Data Movement Map
- Tester can “walk” the data movements when planning or executing tests
 - ➔ Makes functionality visible to the development team
 - ➔ Localizes defects that impact functionality
 - ➔ Supports communication between testers, users, and developers



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



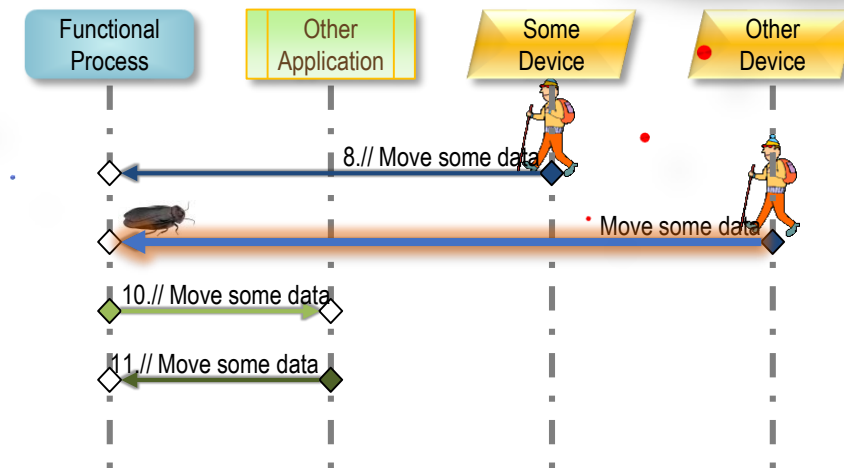
Functionality, Defect Size, and Defect Density

Test Size = 4

Defect Count = 1

- What happens if data movements don't work as expected, have defects instead?
- Testers mark and count data movements where defects have been detected
- Size Metric:

➔ **ISO/IEC
19761
COSMIC**



- Functional Size
 - ➔ Number of Data Movements needed to implement required functionality
- Test Size
 - ➔ Number of Data Movements executed in Tests
- Test Story
 - ➔ Collection of Test Cases aiming at certain FURs
- Defect Count
 - ➔ Number of Data Movements affected by some defect detected in a test story



Bad Mathematics with Testing

Customer Orientation

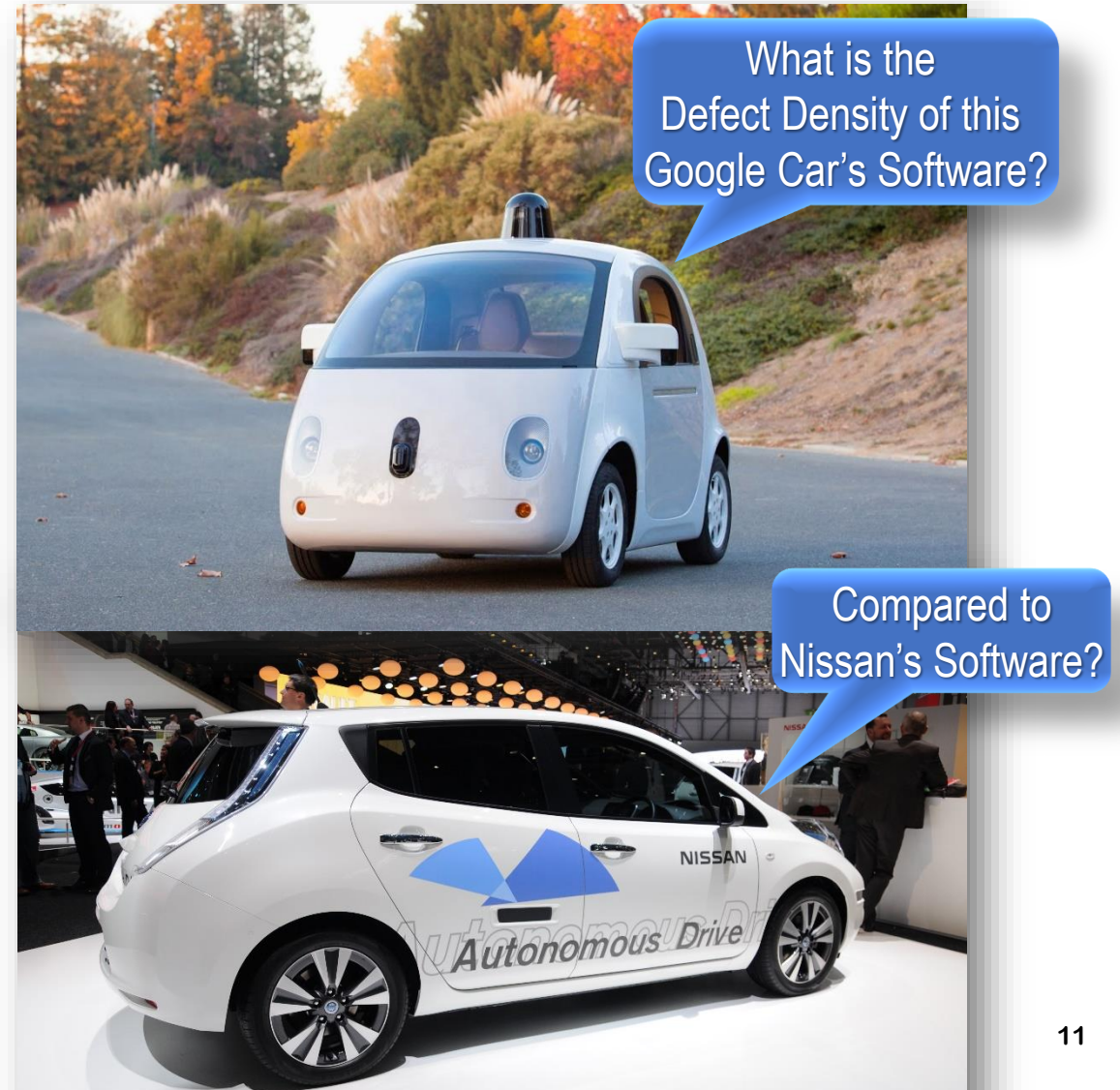
Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions

- What is the Size of Software?
 - ➔ Lines of (undocumented?) Code?
 - ➔ Bug tracking systems refer to code
- What is a Software Defect?
 - ➔ An entry in a bug tracking system??
 - ➔ Bug tracking systems cannot distinguish multiple bug variances
- What is a Defect Density?
 - ➔ Number of bug entries in a bug tracking system per line of code????
 - ➔ Are consumers trumped?





Functional Effectiveness

● How do we know an application implements customer's business drivers?

- ➔ First, find the business drivers' goal profile
- ➔ Deploy business drivers against user stories
- ➔ Count the data movements that add value to some of the business drivers
- ➔ This yields a transfer function that creates an achieved priority profile for the drivers

Simply count data movements

Assign data movements to User Stories

Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

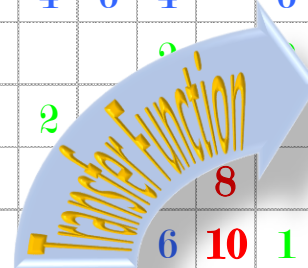
Transfer Functions

	Business Drivers		Weight	Profile	
A Group A	A03	Required Target A3	13%	0.31	
B Group B	B01	Required Target B1	23%	0.54	
	B02	Required Target B2	11%	0.27	
C Group C	C02	Required Target C2	16%	0.37	
D Group D	D01	Required Target D1	13%	0.32	
	D04	Required Target D4	24%	0.56	

Business Drivers
Deployment Combinator

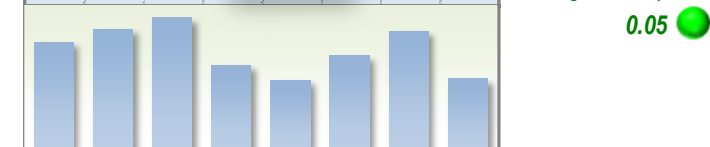
User Stories

Business Drivers		Goal Profile	User Stories								Achieved Profile	
			Q001 User Story 1	Q002 User Story 2	Q003 User Story 3	Q004 User Story 4	Q005 User Story 5	Q006 User Story 6	Q007 User Story 7	Q008 User Story 8		
A03	Required Target A3	0.31	8	3		4					5	0.28
B01	Required Target B1	0.54	5	5	9	4	6	4			6	0.57
B02	Required Target B2	0.27	6	3	4			2				0.27
C02	Required Target C2	0.37		6	5	2						0.34
D01	Required Target D1	0.32	3		2						8	0.31
D04	Required Target D4	0.56	2	6	4			6	10	1		0.56



Solution Profile for User Stories: 0.37 0.42 0.45 0.29 0.24 0.33 0.41 0.25

152 Total Effort Points
0.10 Convergence Range
0.20 Convergence Limit





Functional Effectiveness



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions

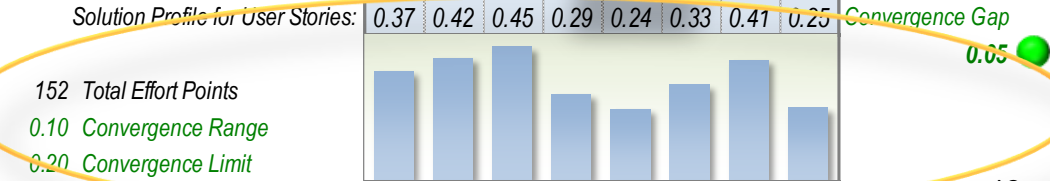
- How do we know an application implements customer's business drivers?
 - ➔ First, find the business drivers' goal profile
 - ➔ Deploy business drivers against user stories
 - ➔ Count the data movements that add value to some of the business drivers
 - ➔ This yields a transfer function that creates an achieved priority profile for the drivers

- Check the Convergence Gap!

	Business Drivers	Weight	Profile
A Group A	A03 Required Target A3	13%	0.31
B Group B	B01 Required Target B1	23%	0.54
	B02 Required Target B2	11%	0.27
C Group C	C02 Required Target C2	16%	0.37
D Group D	D01 Required Target D1	13%	0.32
	D04 Required Target D4	24%	0.56

Business Drivers
Deployment Combinator

		User Stories									
		Goal Profile	Q001 User Story 1	Q002 User Story 2	Q003 User Story 3	Q004 User Story 4	Q005 User Story 5	Q006 User Story 6	Q007 User Story 7	Q008 User Story 8	Achieved Profile
A03	Required Target A3	0.31	8	3		4				5	0.28
B01	Required Target B1	0.54	5	5	9	4	6	4		6	0.57
B02	Required Target B2	0.27	6	3	4			2			0.27
C02	Required Target C2	0.37		6	5	2					0.34
D01	Required Target D1	0.32	3		2					8	0.31
D04	Required Target D4	0.56	2	6	4			6	10	1	0.56





Test Coverage

Test Coverage Deployment Combinator

Test Stories

Goal Test Coverage

User Stories

		Q1-1 Test Story Q1-1	Q1-2 Test Story Q1-2	Q1-3 Test Story Q1-3	Q1-4 Test Story Q1-4	Q2-1 Test Story Q2-1	Q2-2 Test Story Q2-2	Q3-1 Test Story Q3-1	Q3-2 Test Story Q3-2	Q4-1 Test Story Q4-1	Q4-2 Test Story Q4-2	Q4-3 Test Story Q4-3	Q5-1 Test Story Q5-1	Q5-2 Test Story Q5-2	Q6-1 Test Story Q6-1	Q6-2 Test Story Q6-2	Q7-1 Test Story Q7-1	Q7-2 Test Story Q7-2	Q8-1 Test Story Q8-1	Q8-2 Test Story Q8-2	Achieved
Q001 User Story 1	0.37	9	4		4														5	4	0.40
Q002 User Story 2	0.42	4	6	2		5	10														0.40
Q003 User Story 3	0.45	2		4	4	4		12	5												0.46
Q004 User Story 4	0.29					1	2	2		10	5	5	2	2							0.26
Q005 User Story 5	0.24												6	9	2	3					0.21
Q006 User Story 6	0.33														5	7	7	2			0.36
Q007 User Story 7	0.41									1					5	4	7	7	2		0.40
Q008 User Story 8	0.25													2	2	3			7	4	0.25

Total Size of Test Cases in Test Story x_i referring to User Story y_j

Ideal Profile for Test Stories:

0.35	0.23	0.15	0.20	0.24	0.26	0.35	0.13	0.18	0.08	0.08	0.10	0.17	0.27	0.32	0.31	0.20	0.26	0.15
------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------

198 Total Test Size
0.10 Convergence Range
0.20 Convergence Limit



Convergence Gap 0.06

Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Agenda

Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

Transfer
Functions



Test Metrics



Truck Platooning



Real-Time Testing



Agenda

Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

Transfer
Functions



Test Metrics



Truck Platooning



Real-Time Testing



Truck Platooning

- Truck Platooning comprises a number of trucks equipped with state-of-the-art driving support systems – one closely following the other
 - ➔ This forms a platoon with the trucks driven by smart technology, and mutually communicating
 - ➔ Truck platooning is innovative and full of promise and potential for the transport sector
 - ➔ Source: <https://www.eutruckplatooning.com>



Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

Transfer
Functions



Truck Platooning – State of the Art

Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

Transfer
Functions

- Currently, distances between trucks must be such that a truck can be stopped by its driver when needed
 - ➔ This leads to a minimal distance of minimum 22 m at 80 km/h
 - ➔ Corresponds to 2 sec reaction time
- An exemption process was needed to allow the 2016 Truck Platooning Challenge to happen
 - ➔ 0.5 sec reaction time – 5.5 m minimum distance
 - ➔ Six platoons from Sweden and Germany to Rotterdam harbor

- Challenges:

- ➔ Many lessons learned
- ➔ Other traffics' unsafe behavior
- ➔ Unexpected situations at ramps





Truck Platooning Challenges

- What happens if two platoons meet on a ramp?
- How do cars or other trucks merge with traffic on a ramp?
- What if road condition changes suddenly? E.g., with rain or snow?



By Frits Ahlefeldt

Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

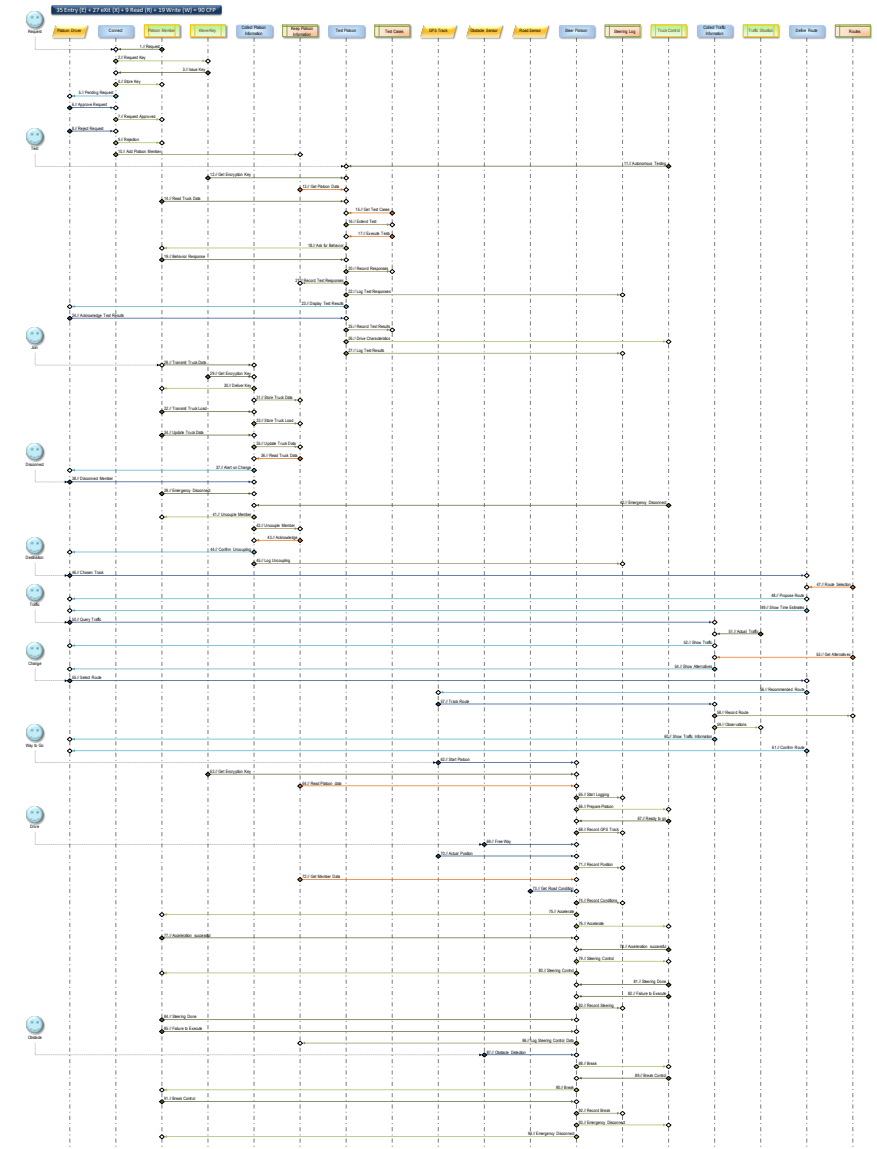
Transfer
Functions



Truck Platooning Software

31 Entry (E) + 25 eXit (X) + 9 Read (R) + 19 Write (W) = 84 CFP

- Not too complex
- Must know parameters of other trucks
 - ➔ Weight
 - ➔ Load
 - ➔ Motorization
 - ➔ Brake efficiency
- Risks for safety with other traffic
 - ➔ Detect unexpected situations
 - ➔ Protect against communication loss
- Risks for privacy



Customer Orientation

Lean Six Sigma

Agile Processes

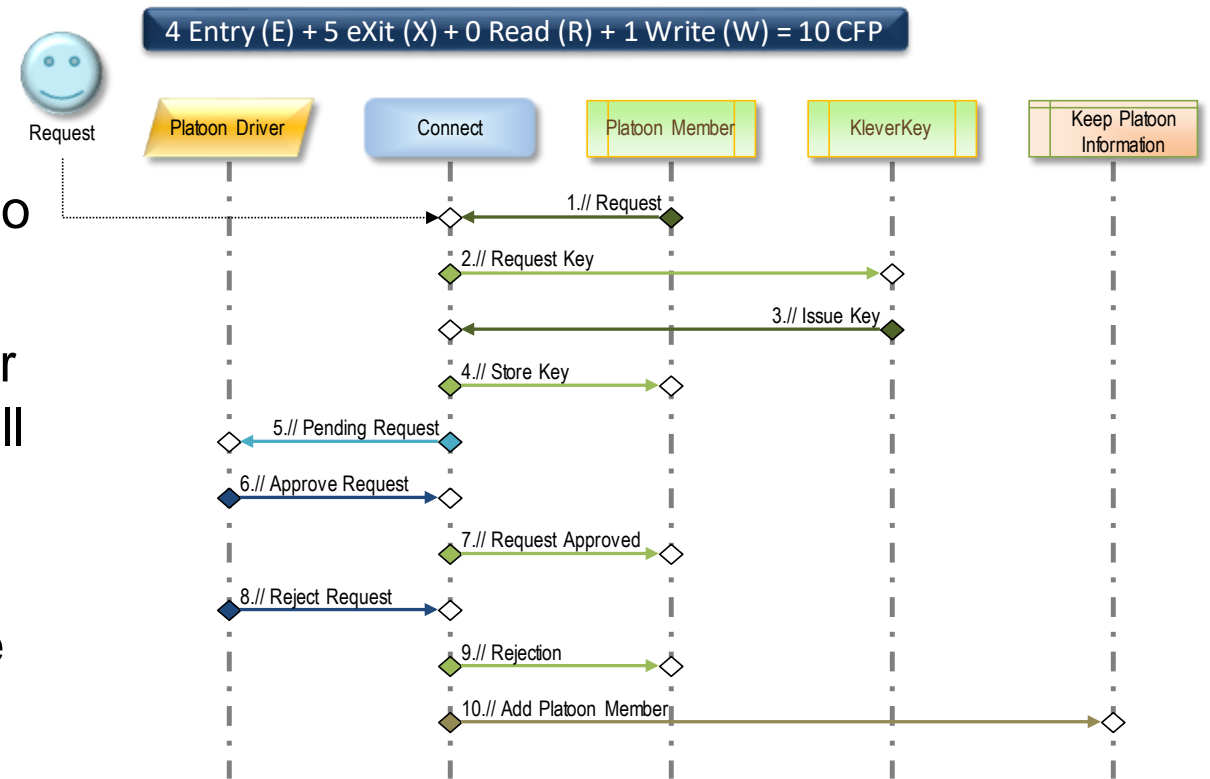
Project Estimations

Transfer Functions



Connect to Platoon

- A platoon member software issues a connection request
- Functional process **Connect** requests a key from KleverKey to encrypt all communications
- Store key in the platoon member application for further use with all communication
- There is an automatic check whether the requesting software is capable to support platooning
- Request is approved or rejected by platoon driver



Customer Orientation

Lean Six Sigma

Agile Processes

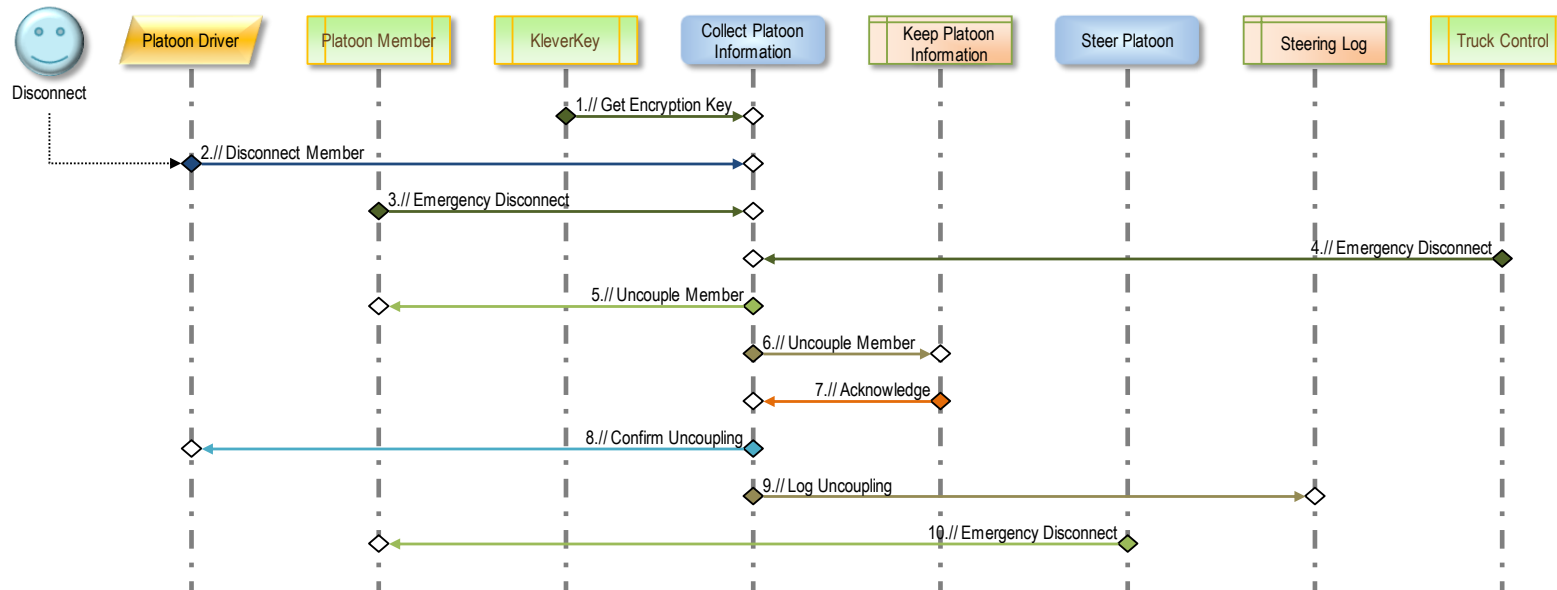
Project Estimations

Transfer Functions



Disconnect from Platoon

4 Entry (E) + 3 eXit (X) + 1 Read (R) + 2 Write (W) = 10 CFP



- Disconnect can originate

- ➔ By the platoon driver
- ➔ By some of the platoon members
- ➔ While steering the platoon and encountering an obstacle

- Platoon member must take over control

- ➔ By a human driver, or
- ➔ By its own autonomous truck control

Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

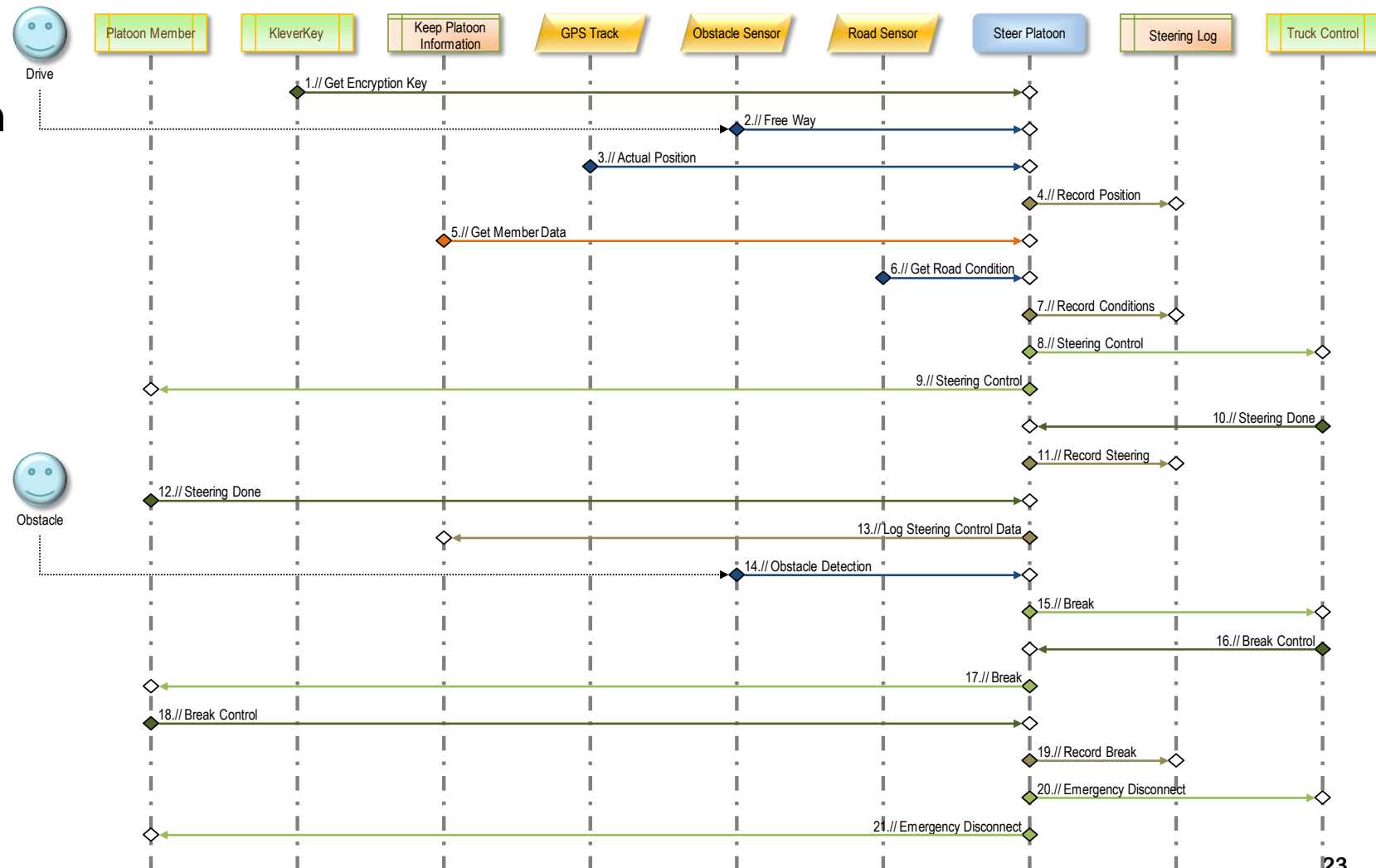
Transfer Functions



Drive Around Obstacles

9 Entry (E) + 6 eXit (X) + 1 Read (R) + 5 Write (W) = 21 CFP

- Head driver leads the platoon
- **Steer Platoon**, acceleration and deceleration require reliable and encrypted communication to platoon members
- Breaking must be watched and recorded for future learning



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Autonomous Testing while Running the Platoon

Customer Orientation

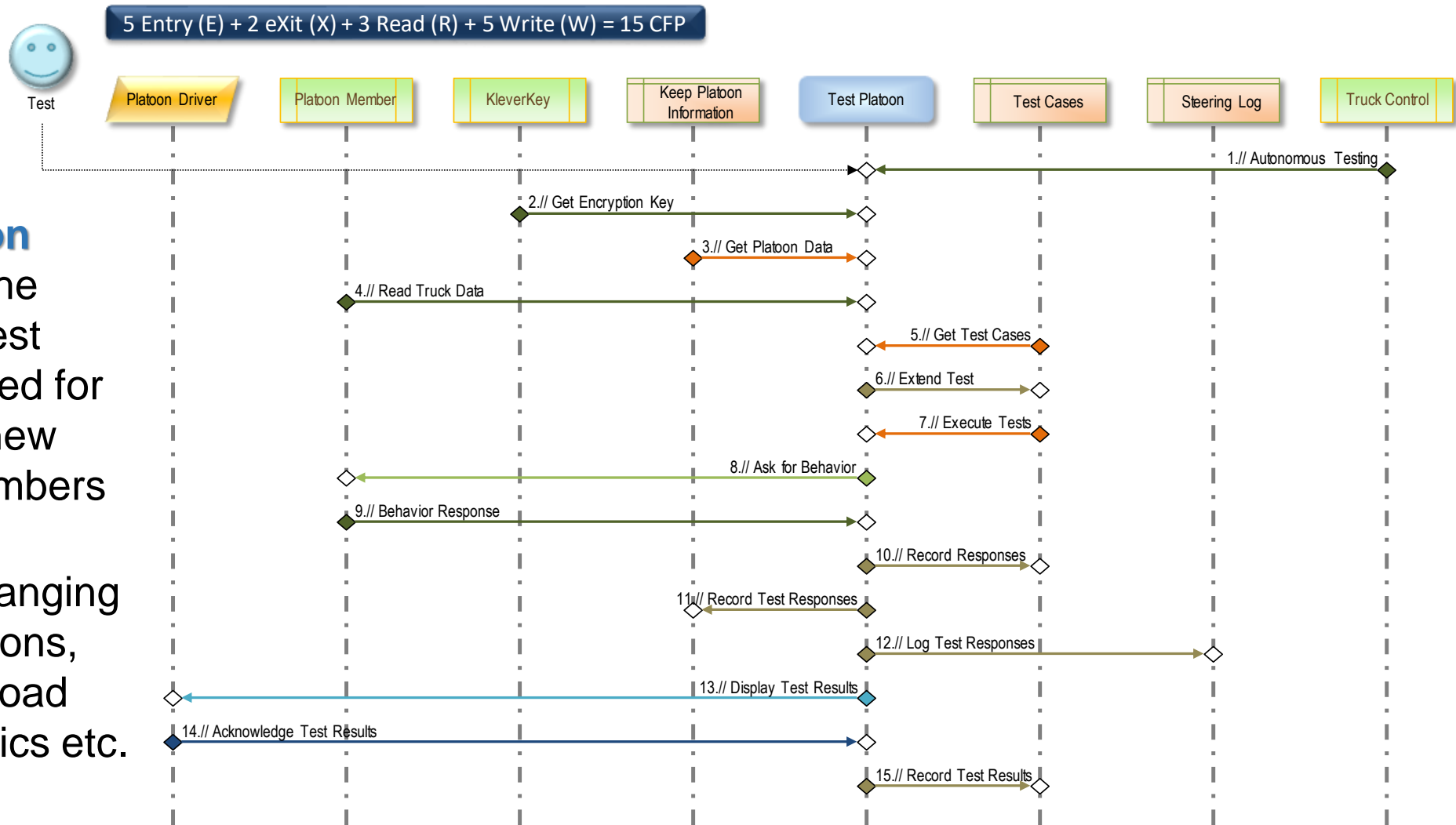
Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions

- The functional process **Test Platoon** generates the additional test cases needed for assessing new platoon members
- Adapt to changing road conditions, truck data, load characteristics etc.





Extending Test Cases

Customer
Orientation

Level 1
Parametrization of
same controls

- Existing test cases without changing logic, changing test data only

Lean
Six Sigma

Level 2
New controls, same
response

- New controls with new test data but response as before

Agile
Processes

Level 3
Same controls,
different response

- Same controls with new test data generate new response

Project
Estimations

Level 4
New controls, new
response

- Unrelated with previous test cases but still within the same test story with a new, unprecedented response

Transfer
Functions



Agenda

Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

Transfer
Functions



Test Metrics



Truck Platooning



Real-Time Testing



Agenda

Customer
Orientation

Lean
Six Sigma

Agile
Processes

Project
Estimations

Transfer
Functions



Test Metrics



Real-Time Testing



Real-Time Testing





Autonomous Real-Time Testing – Step 1

- Analyze Customer's Needs

- ➔ Identify topics
- ➔ Make sure they are relevant
- ➔ And can be influenced

- Get a Response Profile

- ➔ Use **Analytic Hierarchy Process**
- ➔ Use **Net Promoter® Score**
- ➔ Use **Go to the Gemba**
- ➔ Ask Customers and Users
- ➔ Combine all them for getting the **Voice of the Customer**

Business Drivers Get Priority

	Business Drivers	Attributes	Weight	Profile	
A Group A	A03 Expected Response A3	Attribute A03-1	13%	0.31	
B Group B	B01 Expected Response B1	Attribute B01-1	23%	0.54	
	B02 Expected Response B2	Attribute B02-1	11%	0.27	
C Group C	C02 Expected Response C2	Attribute C02-1	16%	0.37	
D Group D	D01 Expected Response D1	Attribute D01-1	13%	0.32	
	D04 Expected Response D4	Attribute D04-1	24%	0.56	

AHP Priorities Get Priority	Group A	Group B	Group C	Group D	Weight	Ranking	Profile	
	A	B	C	D				
A Group A	1	1/5	2	1/3	16%	4	0.31	
B Group B	5	1	1/2	1/3	23%	2	0.44	
C Group C	1/2	2	1	1/2	20%	3	0.37	
D Group D	3	3	2	1	41%	1	0.76	

Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Autonomous Real-Time Testing – Step 2

Customer Orientation

● Get User Stories

- ➔ Who wants it?
- ➔ What exactly do they want?
- ➔ How important is it?
- ➔ What's the purpose?

Lean Six Sigma

Agile Processes

● Draw a Data Movement Map

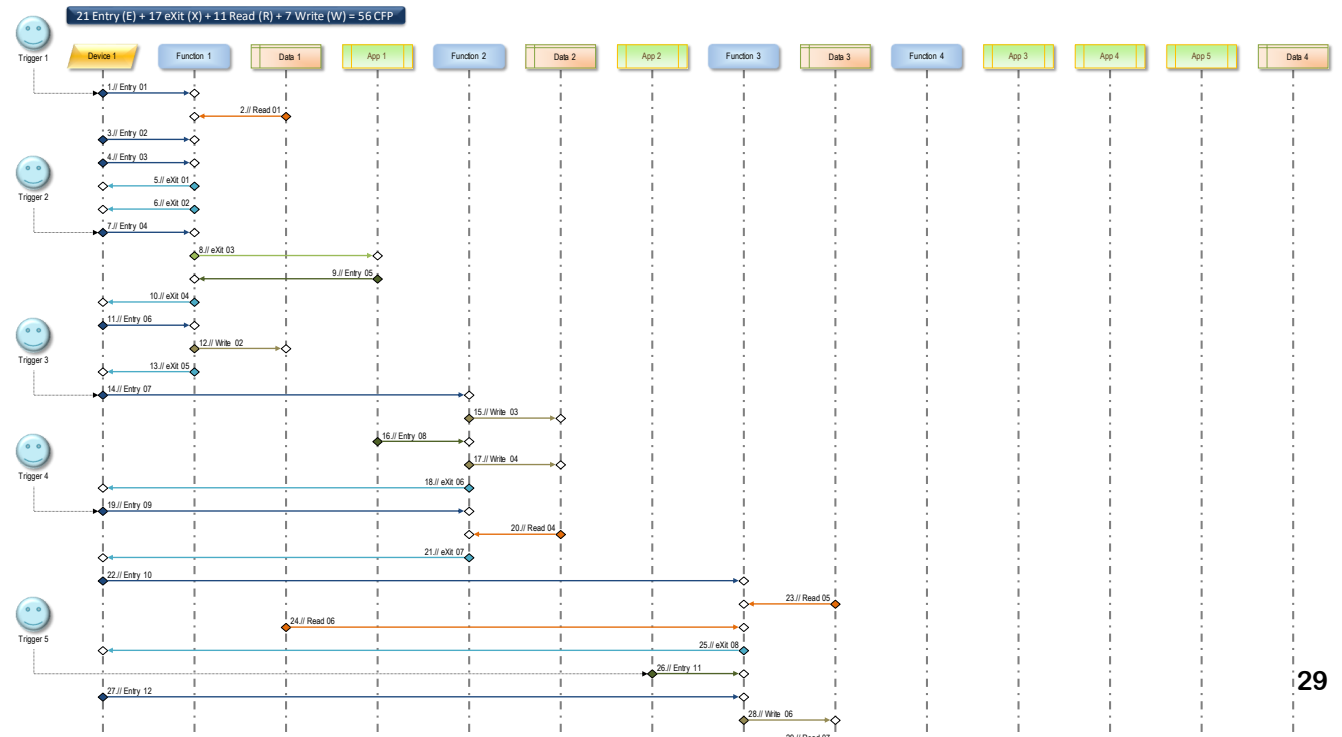
- ➔ What are the Objects?
- ➔ What data is moved?
- ➔ How important are the data?
- ➔ How vulnerable is data?

Project Estimations

Transfer Functions

User Stories

User Stories Topics		As a ... [functional user]	I want to ... [get something done]	such that ... [quality characteristic]	so that ... [value or benefit]	Priority
						Weight Profile
1)	Q001 User Story 1	User	do something	something happens	it's valuable	13% 0.37
2)	Q002 User Story 2	User	do something	something happens	it's valuable	15% 0.42
3)	Q003 User Story 3	User	do something	something happens	it's valuable	16% 0.45
4)	Q004 User Story 4	User	do something	something happens	it's valuable	10% 0.29
5)	Q005 User Story 5	User	do something	something happens	it's valuable	9% 0.24
6)	Q006 User Story 6	User	do something	something happens	it's valuable	12% 0.33
7)	Q007 User Story 7	User	do something	something happens	it's valuable	15% 0.41
8)	Q008 User Story 8	User	do something	something happens	it's valuable	9% 0.25





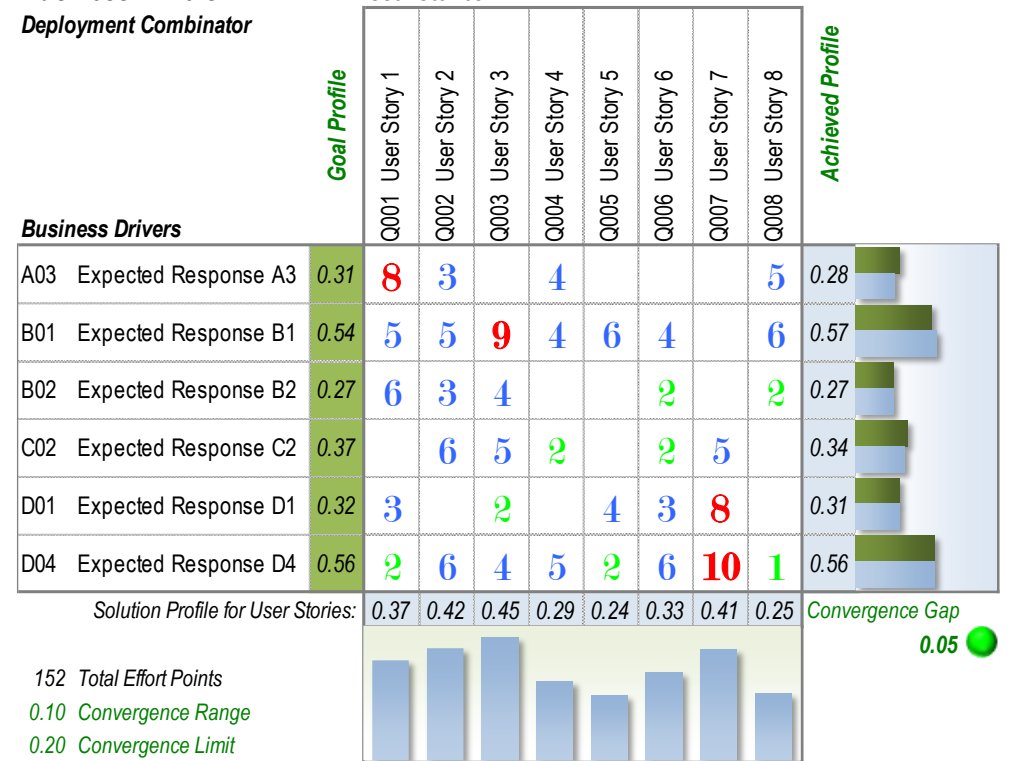
Autonomous Real-Time Testing – Step 3

- Map Data Movements to User Stories
 - ➔ Why do you use which data?
 - ➔ Which data movement functionality supports specific user stories?

- Count data movements per user story
 - ➔ Is the functionality needed?
 - ➔ For which user story?
 - ➔ Does the convergence gap close?
 - If not, add more data movements to the user story's support count
 - Repeat until the convergence gap closes

Business Drivers
Deployment Combinator

User Stories



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

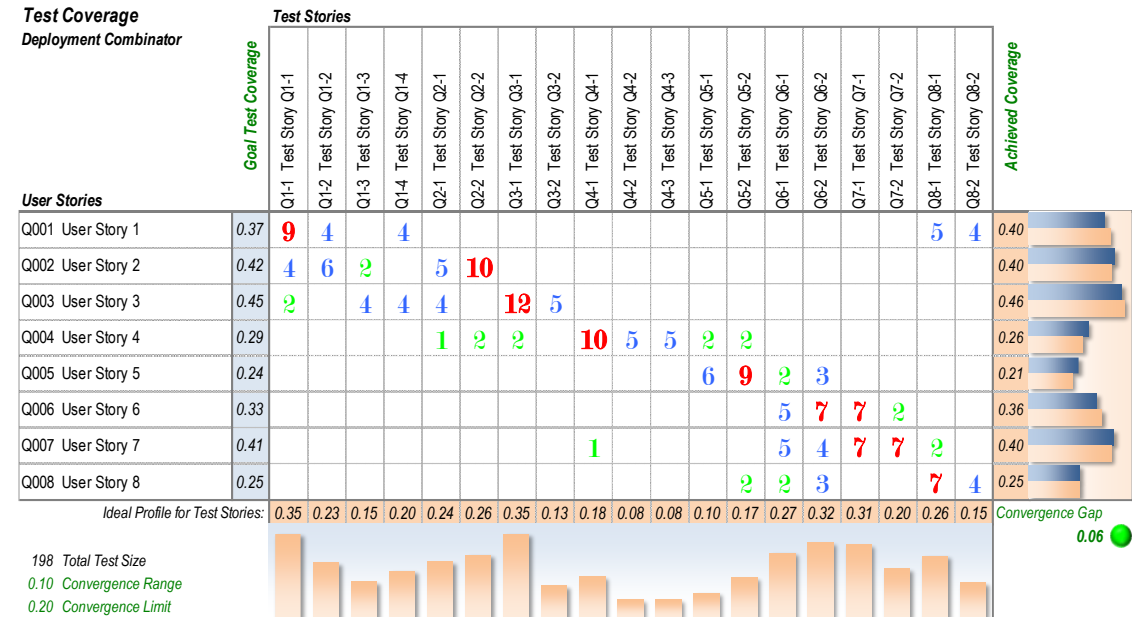
Transfer Functions



Autonomous Real-Time Testing – Step 4

- Map Test Stories to User Stories
 - ➔ A test story supports more one or than one user story with different impact
 - ➔ This is an expert judgment, not a count, not automatic
 - ➔ It is testers' expertise to select enough and relevant test stories

- Add Test Cases
 - ➔ Identify the data movements needed to execute each test case
 - ➔ Count the number of data movements
 - ➔ Repeat until convergence gap closes such that test stories cover user stories



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Autonomous Real-Time Testing – Step 5

- Run the Initial Tests
 - ➔ Count defects
 - ➔ Compare defects count with test response profile
 - If defects are equally spread the defects count profile should match the test response profile
 - If not, investigate special causes

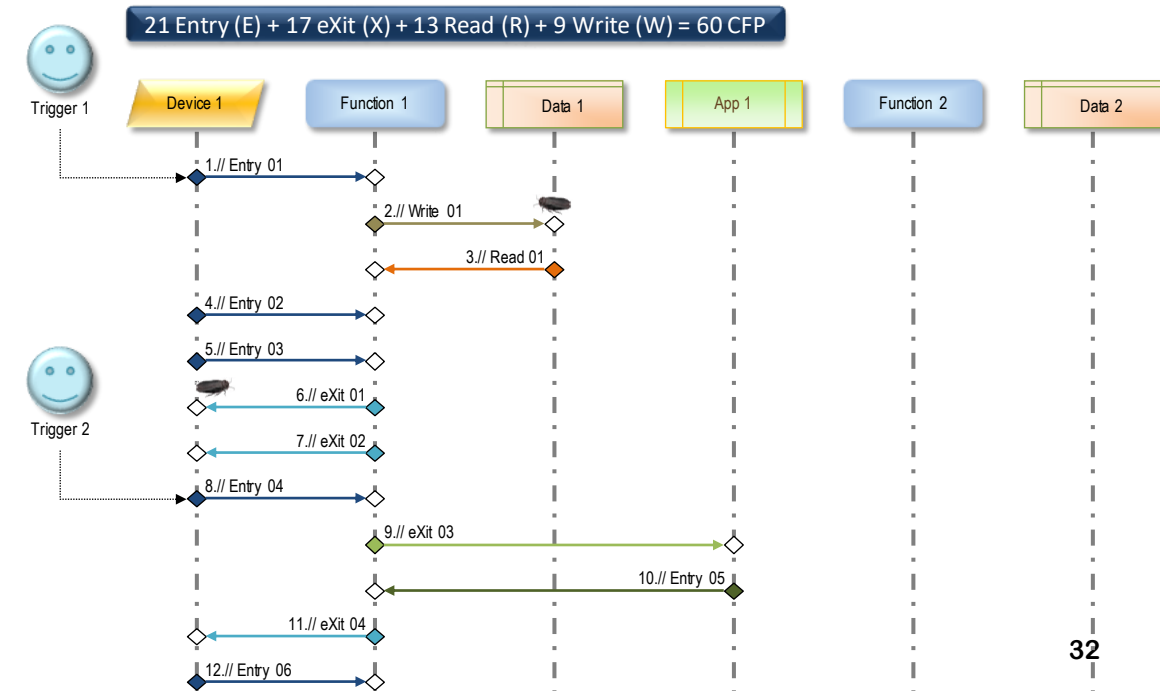
- Repeat until defects are eliminated
 - ➔ It might be necessary to add more test stories and test cases
 - ➔ Keep convergence gap closed

Test Case Measurements for Test Story Q1-3

Test Story No. 3

Defects Observed

Q1-3	Test Story Q1-3	Expected Response	CFP	Name	Label
Q1-3.1	TestData Q1-3	Expected Response Q1-3	4		
Q1-3.2	TestData Q1-3	Expected Response Q1-3	2	#002	Unit not checked
Test Story Contribution (CFP):			Test Size	6	1 Defect Count



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

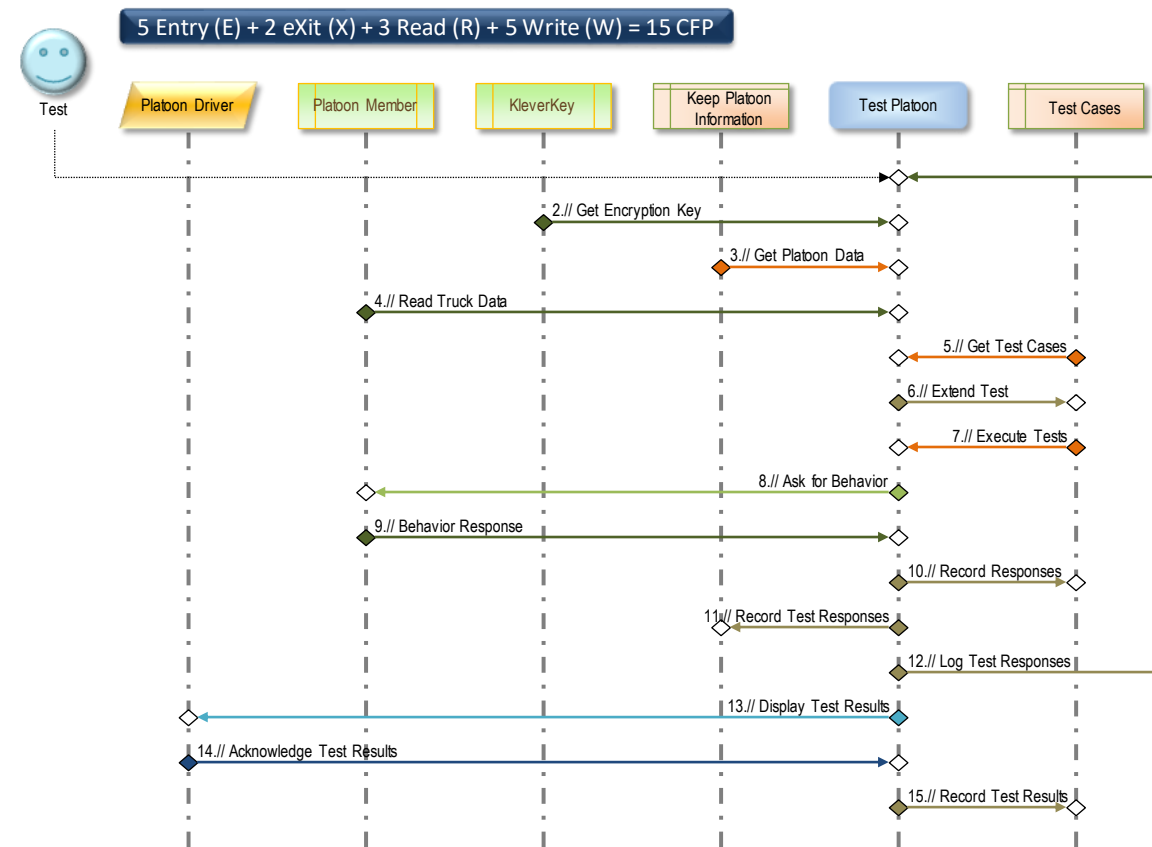
Transfer Functions



Autonomous Real-Time Testing – Step 6

- Set up the Automatic Test Generator
 - ➔ Generate Level 1 to Level 4 test cases
 - ➔ Count data movements automatically
 - ➔ Select test cases for execution based on convergence gap
 - ➔ Record test results to the cloud

- Do Dry Runs
 - ➔ Let trucks run; play the IoT concert!
 - ➔ Save test results to the cloud
 - ➔ Watch defects found
 - ➔ Watch convergence gaps



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Autonomous Real-Time Testing – Step 7

- Analyze Test Results

- ➔ Compare defects with test response profile

- ➔ Identify weak spots

- If defects are equally spread the defects count profile should match the test response profile
- If not, investigate special causes

- Act upon Findings

- ➔ Continuously improve the behavior of autonomous systems

Test Status Summary

Total CFP:	60	Test Size in CFP:	198
Defects Found in Total:	3	Test Intensity in CFP:	3.3
Defects Pending for Removal:	3	Defect Density:	1.5%
		Data Moves Covered:	98%



Customer Orientation

Lean Six Sigma

Agile Processes

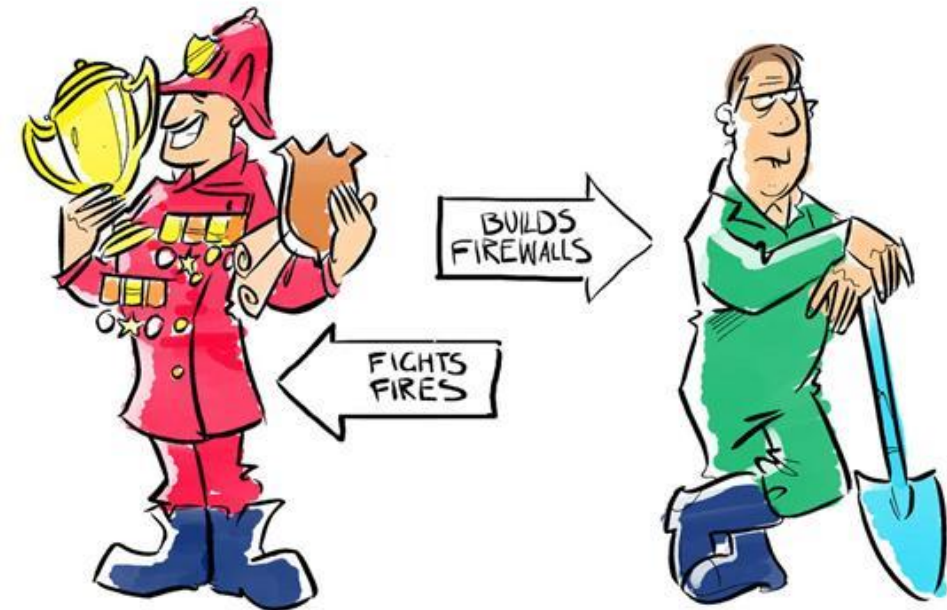
Project Estimations

Transfer Functions



Unresolved Problems and Weaknesses

- COSMIC counts are not mainstream
 - ➔ Important code quality tools such as SonarQube do not (yet) count functional size automatically
 - ➔ Testing metrics are virtually unknown
 - ➔ Customers do not understand neither size nor test metrics
 - ➔ The current hype for autonomous car driving hides the need for safety and privacy
- Approach is not easily carried over to ISO/IEC 20926 IFPUG Function Points



Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Conclusions

- Autonomous Real-Time Testing is something immediately needed that will become highly important in the near future
 - ➔ Autonomous cars never will hit the roads without autonomous real-time tests
 - ➔ IoT is bound for failure without autonomous real-time tests
 - ➔ ICT's future depends from autonomous real-time tests
- It's a good idea to get acquainted with the concept early enough
 - ➔ Autonomous things need Software Metrics!
 - ➔ Measure Software Tests!





Questions?

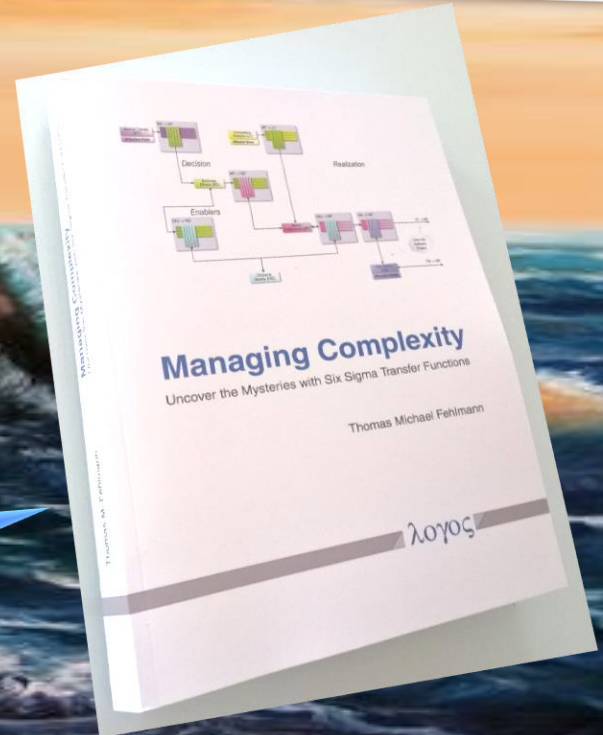
Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Logos Press
Berlin 2016



New Book on Six Sigma Transfer Functions

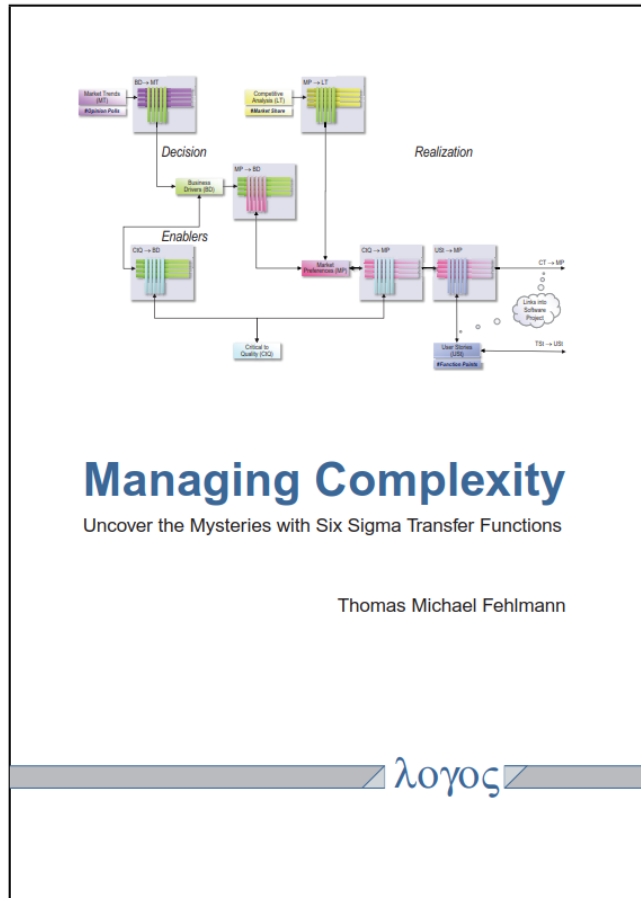
Customer Orientation

Lean Six Sigma

Agile Processes

Project Estimations

Transfer Functions



Managing Complexity

Uncover the Mysteries with Six Sigma Transfer Functions

Thomas Michael Fehlmann

2017, 394 pages

ISBN 978-3-8325-4406-5

Price: 49.00 €

To purchase, please contact the bookselling trade or order online from Logos Press

Logos Verlag Berlin GmbH · Comeniushof – Gubener Str. 47 · D-10243 Berlin



Tel.: +49 (30) 42 85 10 90 · Fax: +49 (30) 42 85 10 92 · Internet: <http://www.logos-verlag.com>